

Market Regulation

OneChicago, LLC is a Designated Contract Market (DCM) regulated by the CFTC and a notice-registered national securities exchange with the SEC. As a self-regulatory organization, OneChicago has an obligation to regulate and supervise its marketplace to ensure transparency, fairness, and uphold the integrity of our exchange.

Questions regarding exchange rules or market regulation should be sent to marketsurveillance@onechicago.com by email or directed by phone at +1 (312) 883-3409.

Overview:

- [Surveillance](#)
- [Exchange Rules](#)

Resources:

- [Trade Reporting Practice](#)
- [Error Trade Policy](#)
- [Position Limits](#)
- [Large Trader Reporting Program](#)
- [Ownership and Control Reporting](#)

Surveillance

The OneChicago Market Surveillance is responsible for ensuring compliance by market participants with exchange rules by reviewing trading activity, conducting investigations, and prosecuting wrongdoers. Market Surveillance works hand in hand with OneChicago's regulators, as well as other futures and securities exchanges to achieve these goals.

Exchange Rules

The [OneChicago Rulebook](#) contains the Exchange's official rules regarding Exchange membership and governance, access to OneChicago, trading procedures, Exchange operations and business conduct.

Active Rule Interpretations

Rule Filings for Current Year

Quick Links

[OneChicago Rulebook](#)

[OneChicago Disciplinary Actions](#)

[Rule Filings 2020](#)

[Regulatory Release Archive](#)

Historical Rule Filings